



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/887,781	06/22/2001	Carol Ann	END9-2000-0180US1

CONFIRMATION NO. 8707

FORMALITIES LETTER



OC00000006439277

John R. Pivnichny
 IBM Corporation, N50/040-4
 1701 North Street
 Endicott, NY 13760



Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/15/2001 SMINASS1 00000058 090457 09887781

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#3

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
END920000180US1

In Re Application Of: Carol Ann et al.
OCT 12 2001

Serial Number 09/887,781	Filing Date 06/22/01	Examiner N/A	Group Art Unit 2161
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Invention: METHOD AND SYSTEM USING AN ENTERPRISE FRAMEWORK

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

08/17/01

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
END920000180US1

In Re Application Of: Carol Ann et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/887,781	06/22/01	N/A	2161

Invention: METHOD AND SYSTEM USING AN ENTERPRISE FRAMEWORK

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

- Completion of application fees as calculated below:

Utility application filing fee

Design application filing fee

Total number of independent claims =

Total number of claims =

Multiple dependent claims

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

Petition and fee for filing by other than all the inventors or a person not the inventor

Fee for processing an application filed with a non-English language specification

Fee for processing and retention of application

Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month Two months Three months Four months Five months

from: _____ **until:** _____

Date

until:

Date

Total time extension fees

Total fees due

\$130.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
END920000180US1

In Re Application Of: **Carol Ann et al.**

Serial No.
09/887,781

Filing Date
06/22/01

Examiner
N/A

Group Art Unit
2161

Invention: **METHOD AND SYSTEM USING AN ENTERPRISE FRAMEWORK**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **09-0457**
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.


John R. Pivnichny
Signature

Dated: **10/08/01**

John R. Pivnichny, Reg. No: 43,001
IBM Corporation, N50/040-4
1701 North Street
Endicott, NY 13760

I certify that this document and fee is being deposited on **10/09/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Bethany J. Fitzpatrick
Signature of Person Mailing Correspondence

Bethany J. Fitzpatrick

Typed or Printed Name of Person Mailing Correspondence

CC:

AA
Sector 8

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Carol Ann et al.

Docket No. *D*

END920000180US1

Serial No.
09/887,781

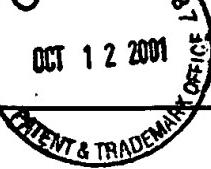
Filing Date
06/22/01

Examiner
N/A

Group Art Unit
2161

Invention: METHOD AND SYSTEM USING AN ENTERPRISE FRAMEWORK

OCT 12 2001



I hereby certify that this Declaration, Notice to File Missing Parts and Response to Notice to File Missing Parts
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/09/01
(Date)

Bethany J. Fitzpatrick
(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.